

UTILITY PATENT APPLICATION TRANSMITTAL <small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small>	Attorney Docket No.	197832US25; Client Ref: PIP-71-KATZ
	First Inventor or Application Identifier	GARY M. KATZ
	Title	TARGETED COMMUNICATIONS BASED ON PROMOTIONAL RESPONSE
Assignee Name:		Catalina Marketing International, Inc.
Assignee Address:		200 Carillon Parkway, St. Petersburg, Florida 33716

02/06/01

APPLICATION ELEMENTS <small>See MPEP chapter 600 concerning utility patent application contents</small>	
1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g. PTO/SB/17) (Submit an original and a duplicate for fee processing)	
2. <input checked="" type="checkbox"/> Specification	Total Sheets 43
3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113)	Total Sheets (FORMAL) 12
4. <input checked="" type="checkbox"/> Oath or Declaration	Total Pages 3
a. <input checked="" type="checkbox"/> Newly executed (original or copy)	
b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. §1.63(d)) (for continuation / divisional w/ box 17 completed)	
i. <input type="checkbox"/> DELETION OF INVENTOR(S) <small>Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §1.63(d)(2) and 1.33(b).</small>	
5. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)	
6. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)	
a. <input type="checkbox"/> Computer Readable Form (CRF)	
b. Specification or Sequence Listing on:	
i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or	
ii. <input type="checkbox"/> Paper	
c. <input type="checkbox"/> Statements verifying identity of above copies	

ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231	
ACCOMPANYING APPLICATION PARTS	
7. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s)) - 3 pages	
8. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76	
9. <input type="checkbox"/> 37 C.F.R. §3.73(b) Statement (when there is an assignee)	<input type="checkbox"/> Power of Attorney
10. <input type="checkbox"/> English Translation Document (if applicable)	
11. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449	<input checked="" type="checkbox"/> Copies of IDS Citations (17)
12. <input type="checkbox"/> Preliminary Amendment	
13. <input checked="" type="checkbox"/> White Advance Serial No. Postcard	
14. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)	
15. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27	
16. <input checked="" type="checkbox"/> Other:	Petition to Make Special Under M.P.E.P. § 708.02(VIII);

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application no.:

Prior application information: Examiner: Group Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.


18. Amend the specification by inserting before the first line the sentence:

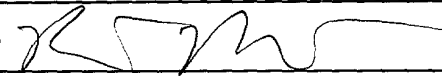
☐ This application is a ☐ Continuation ☐ Division ☐ Continuation-in-part (CIP)
of application Serial No. Filed on

☐ Which was published in English

☐ Which was not published in English

☐ This application claims priority of provisional application Serial No. Filed

19. CORRESPONDENCE ADDRESS	
	
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Name:	Robert C. Mattson	Registration No.:	42,850
Signature:		Date:	1-6-01
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Docket No. 197832US25
Client Ref: PIP-71-KATZ

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTOR(S) GARY M. KATZ ET AL

SERIAL NO: NEW APPLICATION

FILING DATE: HEREWITH

FOR: TARGETED COMMUNICATIONS BASED ON PROMOTIONAL RESPONSE

FEE TRANSMITTAL

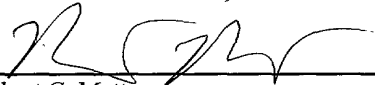
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WASHINGTON, D.C. 20231

FOR	NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
TOTAL CLAIMS	151 - 20 =	131	× \$18 =	\$2,358.00
INDEPENDENT CLAIMS	8 - 3 =	5	× \$80 =	\$400.00
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS (If applicable)			+ \$270 =	\$0.00
<input type="checkbox"/> LATE FILING OF DECLARATION			+ \$130 =	\$0.00
BASIC FEE				\$710.00
TOTAL OF ABOVE CALCULATIONS				\$3,468.00
<input type="checkbox"/> REDUCTION BY 50% FOR FILING BY SMALL ENTITY				\$0.00
<input type="checkbox"/> FILING IN NON-ENGLISH LANGUAGE			+ \$130 =	\$0.00
<input checked="" type="checkbox"/> RECORDATION OF ASSIGNMENT			+ \$40 =	\$40.00
TOTAL				\$3,508.00

- ☐ Please charge Deposit Account No. 15-0030 in the amount of _____ A duplicate copy of this sheet is enclosed.
- ☒ A check in the amount of **\$3,508.00** to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.


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Date: 1-6-01



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